THE TRANSPARENCY & CONSENT FRAMEWORK (TCF) V2.0

FULL OVERVIEW FOR PUBLISHERS

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AGENDA

Speakers:

- Stevan Randjelovic, Brand Safety Manager, Group M
- Christoph Zippel, Senior Legal Counsel, RTL
- Jennifer Derke, Director of Product, Programmatic/Automation, IAB Tech Lab

Presentation: TCF v2.0 for Publishers (40 min)

- The TCF Evolution
- TCF v2.0 Publishers' Focus
- TCF v2.0 Policies Overview
- TCF v2.0 Data Processing Purposes
- TCF v2.0 Technical specifications
- TCF v2.0 Registration
- Registration to TCF v2.0

Q&A (30 min)



THE TCF EVOLUTION



A SHORT HISTORY ON THE TCF

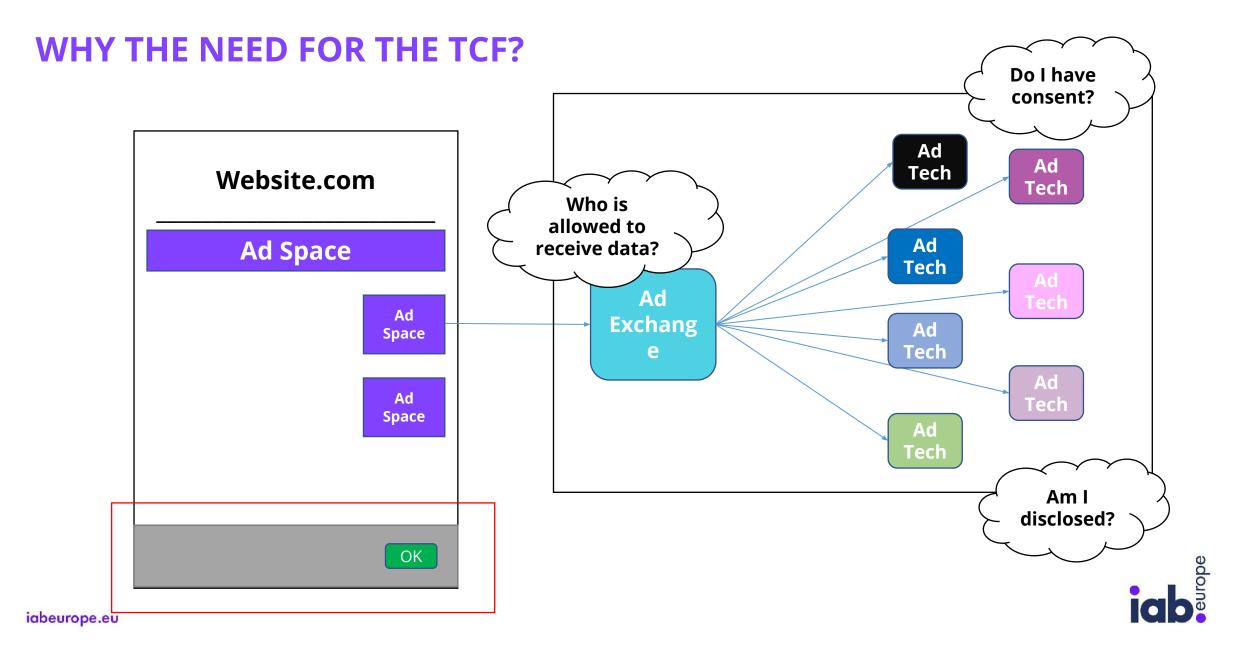
• WHY WAS THE TCF CREATED?

• WHO MANAGES THE TCF?

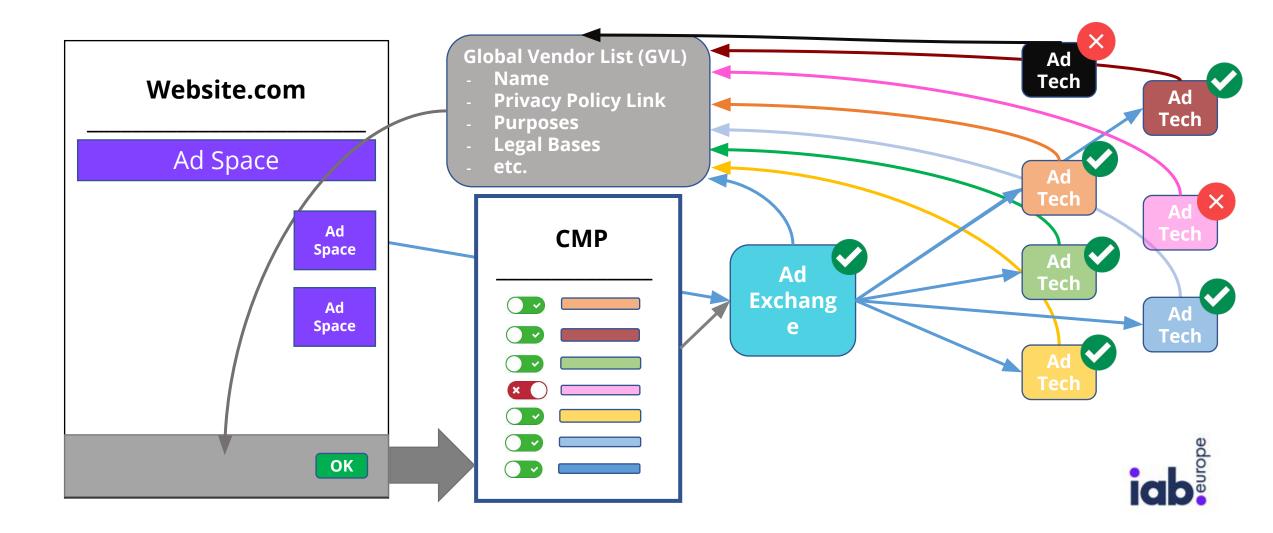
TRANSPARENCY, CONTROL, CHOICE & FLEXIBILITY

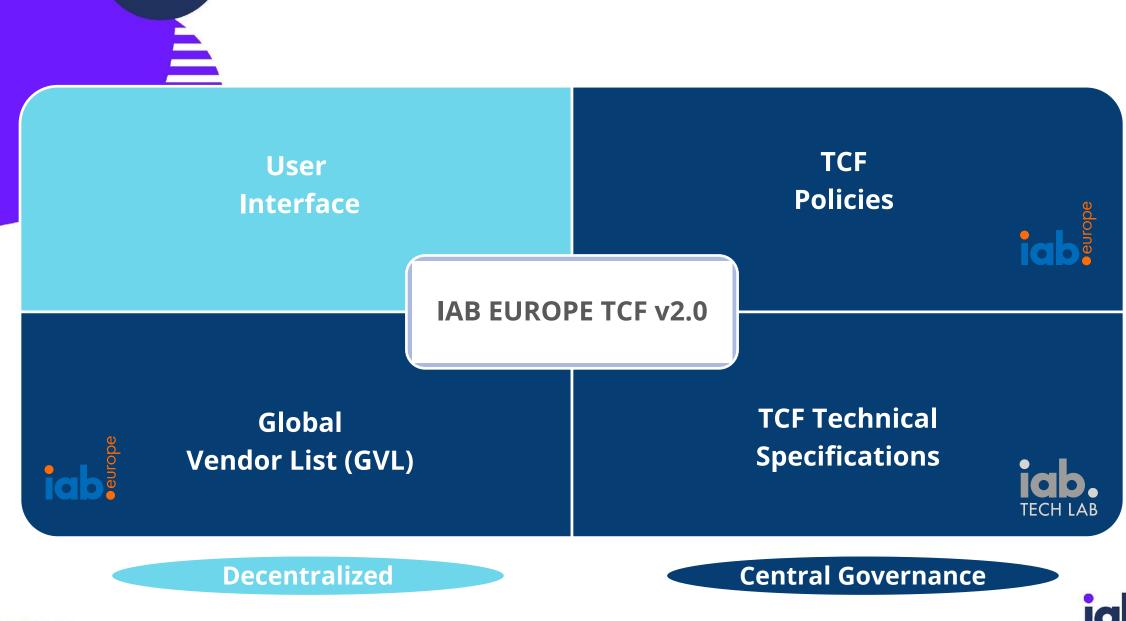
IAB Europe's Transparency & Consent Framework





HOW THE TCF HELPS?





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THE TCF FOR PUBLISHERS

Publishers following the TCF Policy specifically perform the following:

- Select and control vendors they want to work with;
- Provide users with transparency into the vendors selected by the publisher, and the purposes for which they process data;
- Request and obtain informed consent to process data, or establishing other legal bases to process data;
- Transparently pass information relating to user choices to the ecosystem;
- Either act as a CMP (in which case they would need to register as a CMP in the TCF) or utilise the services of a CMP registered with the TCF;
- Support the use of data for measuring campaign effectiveness and the use of contextual advertising that requires access to user devices.



THE TCF BENEFITS ALL STAKEHOLDERS

PUBLISHERS - A scalable, efficient way to ensure that publishers' preferred technology partners have a GDPR legal basis for processing their readers' personal data and that publishers can transmit information to their readers and those partners in an interoperable manner throughout the ecosystem.

CMPs - Technical specifications and policies that enable CMPs to capture and communicate information about preferred technology partners and user choices associated with those technology partners, based on a standardized and interoperable signal.

Vendors - A mechanism for ensuring the necessary disclosures have been made and user choices reflected, so that vendors can process user data and access user devices legally.

Advertisers - Transparency to users about advertisers' preferred vendors.

AND MOST IMPORTANTLY, FOR THE USER...

TCF provides both transparency over the use of their data and control regarding their personal data.



CREATING & SHAPING TCF V2.0

- TCF v2.0 is the product of 12 months of reflection begun in response to feedback from the market, notably publishers, and from EU Member State data protection authorities (DPAs)
- The vision for TCF v2.0 was to provide enhanced transparency and choice to consumers and greater control to publishers.
- The Policy and Technical Specifications for the TCF v2.0 was open to public comment for 30 days from 25th of April to 25th May 2019
- Following the close of the public comment period, the technical specifications and Policies comments were reviewed by the TCF Steering Group and its working groups to develop the final version for the launch.
- TCF v2.0 was launched on 21st August 2019.



TCF V2.0 PUBLISHERS' FOCUS



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INTRODUCING TCF V2.0

- **NEW** signals to allow Publishers to exercise more control over Vendors by purpose
- **<u>NEW</u>** explicit signals for a Vendor's transparency status
- **<u>NEW</u>** signals for users who object to processing on the basis of a legitimate interest
- **MORE** granular and **NEW** purposes, definitions and signals

OVERALL BENEFITS: BETTER INFORMED USERS & SUPPORTS THE BROADER INTERESTS OF THE INDUSTRY



TCF V2.0 POLICIES



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FLEXIBLE VENDOR LEGAL BASES

- TCF v2.0 allows Vendors to register flexible legal bases, and default legal bases, for example:
 - Purpose 1 consent
 - Purpose 2 consent or legitimate interest (default: legitimate interest)
 - Purpose 3 consent
 - Purpose 4 consent or legitimate interest (default: consent)
- Publishers may use new Publisher controls to switch from the default legal basis if Vendor allows.



ADDITIONAL PUBLISHER CONTROLS

- TCF v2.0 allows Publishers to create different rules for different Vendors or ranges of Vendors, for example:
 - All Vendors may process based on Purposes 1, 2, 4
 - Only Vendors X, Y, and Z may process based on Purpose 3
 - Only Vendors A, B, and C may process based on Purposes 7, 8
- Publisher controls are communicated via the Transparency & Consent String, and Vendors are required to respect them and act accordingly.



IMPROVED SUPPORT FOR LEGITIMATE INTEREST

- TCF v2.0 includes additional signals related to processing based on legitimate interests
- Vendors signaled whether transparency has been established for legitimate interests by Vendor and Purpose
- Users can exercise their right to object directly in CMPs, which communicate the objection by Vendor and Purpose



TCF V2.0 DATA PROCESSING PURPOSES



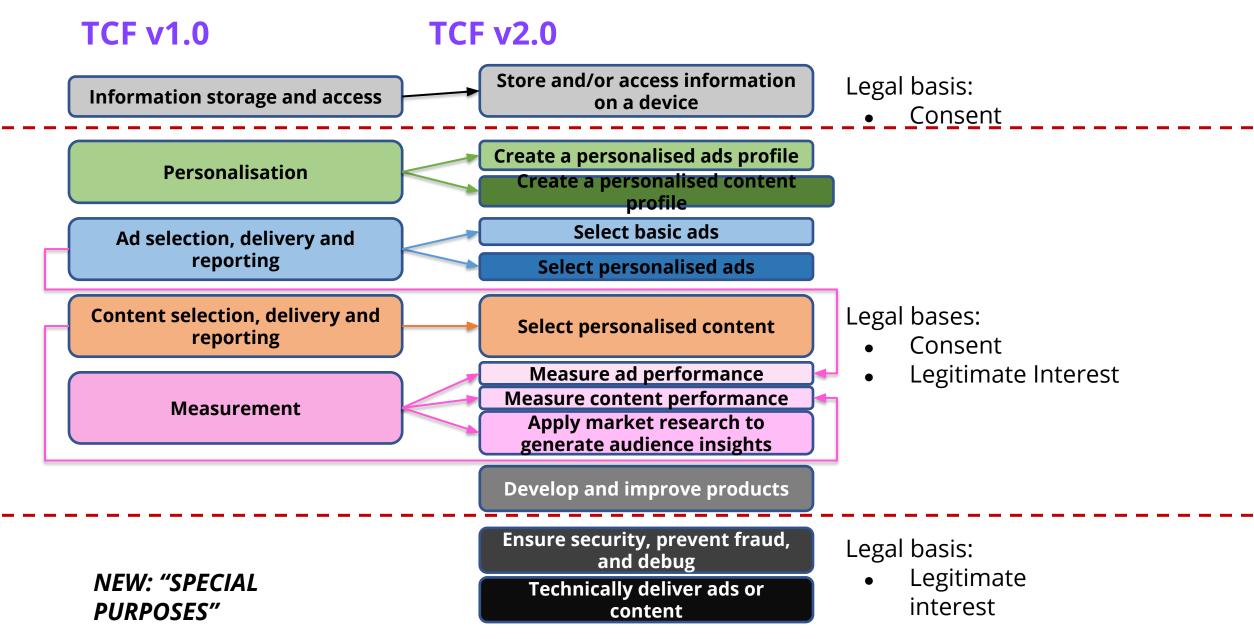
PURPOSES, FEATURES & STACKS

- The TCF standardises Purposes, Special Purposes, Features, Special Features and Stacks
 - Standard names of purposes and stacks
 - Standard legal and user friendly (special) purpose and (special) feature language, and stack descriptions
 - Standard translations
- CMPs must use -- without modification -- the standardised purpose names, descriptions or translations.
- Publishers may change stack descriptions under certain conditions.
- As under v1.0, purpose limitation applies. Vendors are limited to doing what is covered in the Purposes for which they have permissions.

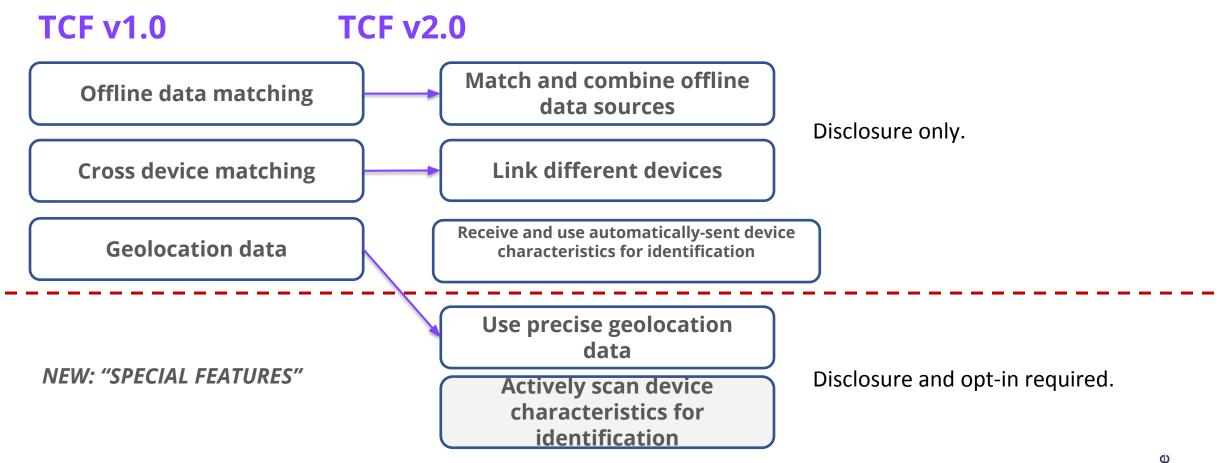


TCF PURPOSES v2.0

NB: No backwards compatibility between v1.0 and v2.0 Purposes



TCF V2.0 FEATURES

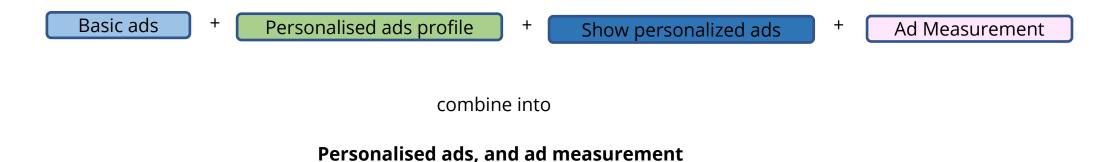




NEW UI ELEMENTS: STACKS

Purpose "Stacks" enable Publishers to present granular purposes in a simplified manner, without depriving users of more granular information and choice.

For example



"Ads will be personalised based on a profile. More data will be added to better personalise ads. Ad performance will be measured."



TCF V2.0 POLICIES SUMMARY

- **NEW** signals to allow Publishers to exercise more control over Vendors by purpose
- **<u>NEW</u>** explicit signals for a Vendor's transparency status
- **<u>NEW</u>** signals for users who object to processing on the basis of a legitimate interest
- **MORE** granular and **NEW** purposes, definitions and signals



TCF Technical Specifications

Jennifer Derke

Director of Product, Programmatic/Automation IAB Tech Lab

TCF Tech Basics (Same Foundations in v2.0)

Who "makes" the TC String?

• CMP (Consent Management Provider)

How is user choice collected?

• CMP offering provides a User Interface to provide transparency to users, collect consent, and allow for objection

How is user choice communicated?

 CMP writes a TC String that vendors will read, establishing vendor transparency and communicating user preferences to the ad tech providers

TCF V2.0 Technical Specifications: What's new?

Framework specification	What's new?				
Transparency and Consent String v2	New purposes; Publisher controls; more comprehensive legal basis establishment for vendors				
Global Vendor List Format v2	Supports v2 policy; better future-facing version control				
Consent Management Provider JavaScript API v2	Incorporated mobile in-app JS API support; streamlined design for pinging on page API; supports calls for v2 TC String				



TC String Updates - Benefits for Publishers

TCF version 2.0 Transparency and Consent String Contents:

- General Metadata
- User Consent
- Legitimate Interest
- Publisher Controls
 - purposes
 - legal basis*

CMP I	D Vendor Consent	Purpose Consent	Vendor LI	Purpose LI	Special Feature opt-in	Global consent	OOB Allowed?	Custom Stacks	Pub Controls
###	0/1	0/1	0/1	0/1	0/1	0/1	0/1	0/1	###

* only if the Vendor indicates flexibility

Blue: Present in v1.0 Green: New in v2.0

More information in TCF v2.0's TC String

Technical challenge: How to incorporate maximum flexibility and control for publishers in v2.0?

- Part 1: Publisher Vendor Restrictions to be fully Incorporated Into the Consent Gathering Process
- Part 2: A Combined Transparency & Consent String

How do publisher choices get reflected in v2.0 strings?

What does publisher need to do?

The publisher would make the following choices and either:

- 1. record any (optional) publisher controls using a standardized CMP configuration, and/or
- 2. work with a CMP to gather consent/display info in the consent dialog.
 - whitelisted vendors (optional)
 - restricted purposes by vendor (optional)
 - preferred/required legal bases per purpose (optional)

Other TCF Specification Changes - GVL

Global Vendor List supports new flexibility for Vendor Registration

Also, Vendors need to be able to **read** the new (potentially large) TCF v2.0 TC Strings

 In addition to flexible legal basis registration in GVL, vendors will also communicate their "overflow" support of potentially large TC strings, expressing their current capabilities for httpGETlimit (expected value of 32 or 128)

Building resources to support v2 adoption

GDPR Technical working group planned support for adoption of v2:

- Enhance and Expand implementation support
 - wiki and 'living FAQ' resources for vendors, CMPs, publishers
- TCF Code Library 'Toolkit'
 - string encoding, shared developer resources
- Tools to validate/QA v2 TC strings
 - o inspector/encoder/decoder

https://github.com/InteractiveAdvertisingBureau/GDPR-Transparency-and-Consent-Framework/tree/master/TCFv2

TCF V2.0 REGISTRATION



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REGISTRATION Registration to TCF view TCF v2.0 will operate in

Registration to TCF v2.0 opens in September 2019.

TCF v2.0 will operate in market alongside TCF v1.1 through to the close of Q1 2020. This will provide publisher websites and CMPs with an appropriate timeframe in which to adopt TCF v2.0.

Registration for the Global Vendor List (GVL) and CMP list is open for vendors and CMPs to apply for approval to operate in the IAB Europe Transparency and Consent Framework (TCF).

Publishers do not need to register or pay to be a part of the TCF.

The registration process facilitates adherence to the TCF Policy and Terms & Conditions by both Vendors and CMPs to provide transparency into how companies intend to comply with GDPR requirements, centralised into one location. Publishers can view the GVL and CMP list to see if their CMP and Vendors are registered.



TCF V2.0 PUBLISHERS FOCUS

Q&A



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Policy questions: <u>framework@iabeurope.eu</u> Technical: <u>transparencyframework@iabtechlab.com</u>





THANK YOU